

Asset Allocation Worksheet

Points	Questions	Score
10 20 30 50	What is your combined family income? <ul style="list-style-type: none"> • Less than \$40,000 • \$40,000-\$69,999 • \$70,000-\$99,999 • \$100,000 or more 	
10 20 30 50	How many years until you need the majority of the money? <ul style="list-style-type: none"> • Less than 5 years • 5-9 years • 10-15 years • More than 15 years 	
50 10 20 30	Do you expect to withdraw a third or more of your money from this investment within 10 years? <ul style="list-style-type: none"> • No • Yes – in 2 years • Yes – in 5 years • Yes – in 10 years 	
50 30 20 10	How many dependents do you have? <ul style="list-style-type: none"> • 0 • 1 • 2-3 • More than 3 	
50 20 0	Approximately how much of your monthly income goes to pay off debt – other than a home mortgage – this includes an auto loan, credit cards, student loans, etc.? <ul style="list-style-type: none"> • Less than 10% • 10% - 50% • More than 50% 	
0 30 10 50	Have you ever made investments in stocks, bonds or mutual funds before? <ul style="list-style-type: none"> • No, I would be uncomfortable with any risk if I did. • No, but I am comfortable with a degree of risk if I did. • Yes, and I was uncomfortable with the risk. • Yes, and I was comfortable with the risk. 	
10 20 30 40 50	I would classify myself as: <ul style="list-style-type: none"> • <u>Very Conservative</u> – I am uncomfortable with any degree of risk or potential loss of my principal – even if it means a loss of potential return. • <u>Moderate Conservative</u> – Safety of principal is very important to me – but I am willing to take a small degree of risk for the potential of higher returns. • <u>Moderate</u> – I am willing to take a certain degree of risk to have the potential of greater growth. But I would like a certain degree of safety against market fluctuation – even if it means a smaller return. • <u>Aggressive</u> – I am comfortable with a higher degree of risk in order to have the potential of greater returns. • <u>Very Aggressive</u> – I am willing to assume a high degree of risk for the potential of the highest return. I understand the prices of shares in my portfolio may fluctuate greatly. 	
	TOTAL	

Your Allocation

Point Total	Allocation	Point Total	Allocation
50-90	Conservative	200-250	Moderately Aggressive
100-140	Conservative - Moderate	260-300	Aggressive
150-190	Moderate	310-350	Very Aggressive

Financial Focus - Barbara J. Williams, CFP & Gloria D. Foote, CFP - (760) 431-3040

Financial Focus is a Registered Investment Advisor.

Securities offered through NATIONAL PLANNING CORP. (NPC)

Member FINRA/SIPC, a Registered Investment Advisor

Financial Focus and NPC are separate and unrelated companies.